

101 660 350

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CPTC



Patent No.: 6,855,657  
Issued: February 15, 2005  
Name of Patentee: The Penn State Research Foundation  
Title of Invention: ORTHO SUBSTITUTED CHIRAL PHOSPHINES  
AND PHOSPHINITES AND THEIR USE IN  
ASYMMETRIC CATALYTIC REACTIONS

Customer No.: 27,623

Attorney Docket No.: 823.0111USV

Mail Stop Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Certificate**  
**OCT 12 2007**  
**of Correction**

**TRANSMITTAL LETTER**

Dear Sir:

We are enclosing:

1. Request for Certificate of Correction of Patent;
2. PTO Form 1050 in duplicate;
3. Transmittal Letter in duplicate; and
4. Postcard.

Please charge any fees or credit any such fees, if necessary, to Deposit Account No. **01-0467** in the name of Ohlandt, Greeley, Ruggiero & Perle. A duplicate copy of this sheet is attached.

Respectfully submitted,

Date: October 4, 2007

Paul D. Greeley  
Reg. No. 31,019  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, CT 06901-2682  
Tel. (203) 327-4500

**OCT 12 2007**

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP: CERTIFICATE OF CORRECTION BRANCH, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON OCTOBER 4, 2007.

LYNN C. WALLACH  
NAME

Lynn C. Wallach  
SIGNATURE

OCTOBER 4, 2007  
DATE

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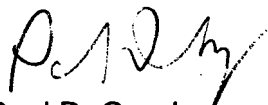
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Alexandria, VA 22313-1450

**REQUEST FOR CERTIFICATE OF CORRECTION**

Dear Sir:

We hereby request that the above-identified patent be corrected as follows:

**IN THE DESCRIPTION**

Column 1,

Line 13, after "Jun.27, 2001" please add the following heading and paragraph:

--FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

This invention was made with support from the Government under Grant No.  
1R01-GM58832. The Government has certain rights in the invention.--

OCT 12 2007

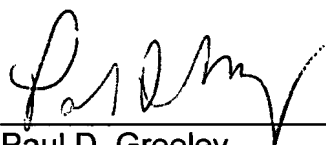
## REMARKS

Entry of the indicated CORRECTION to the specification above is respectfully requested. It is submitted that the amendment submitted June 14, 2004 to the divisional patent application (now the above-cited Patent) did not carry forward the Certificate of Correction that was filed for the Parent Application (Patent No. 6,653,485 B2) to reference the Government sponsorship.

For the reason set forth above, it is requested that the current request for entry of a correction be accepted, and the proper Certificate of Correction be issued to Patent No. 6,855,657.

Respectfully submitted,

Dated: October 4, 2007



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OCT 12 2007

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO.: 6,855,657

DATED: February 15, 2005

INVENTORS: ZHANG, Xumu

It is certified that error appears in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

Column 1,

Line 13, after "Jun.27, 2001" please add the following heading and paragraph:

--FEDERALLY SPONSORED RESEARCH AND DEVELOPMENT

This invention was made with support from the Government under Grant No. 1R01-GM58832. The Government has certain rights in the invention.--

MAILING ADDRESS OF SENDER:

Patent No.: 6,855,657

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